

Renaissance Capital's Business Continuity Planning

Renaissance Capital has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do, you should go to our website at www.renaissancecapital.com for instructions.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical business constituent, bank and counter-party impact; regulatory reporting; and assisting customers in accessing their assets with the appropriate custodian if we are unable to continue our business.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, the building housing our firm, the business district where our firm is located, the city where we are located, the whole region or global. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business by the end of the next business day or as soon as practicable. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within two business days or as soon as practicable. For global disruptions, we will utilize technological solutions as reasonably applicable and resume operations as soon as practicable. In all situations, we plan to continue in business, transfer operations to one or more remote sites if necessary, and notify you through our website www.renaissancecapital.com how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assist customers in accessing their funds with the appropriate custodian.

For more information – If you have questions about our business continuity planning, you can contact us at renaissance@renaissancecapital.com.

DISCLAIMER Renaissance Capital's business continuity plan is designed to enable us to meet our existing obligations to our clients and customers even in the event of an emergency or significant business disruption. However, it is not infallible. The plan has been designed to work in many different situations, but such events are unpredictable and it is unrealistic to assume that every possible scenario can be foreseen or covered by this plan. The recovery times provided are estimates only and are not by any means deadlines that must be met in every situation. Various external factors surrounding a disruption, especially telecommunications, can affect actual recovery time. Renaissance Capital's Business Continuity Planning Disclosure is subject to change without notice. In the event that we modify our plan, the updated disclosure will be promptly posted on our website.

Dated: May 14, 2021